

ATLANTA EMA QUALITY MANAGEMENT STANDARDS AND MEASURES ORAL HEALTH SERVICES

Purpose

The purpose of the Ryan White Part A quality management standards and measures is to ensure that a uniformity of service exists in the Atlanta Eligible Metropolitan Area (EMA) such that the consumers of a service receive the same quality of service regardless of where the service is rendered. If an agency is unable to meet a particular standard, the agency must document why the standard was unable to be met and explain the steps it is taking to meet that standard.

Definition

Oral Health Care activities include outpatient diagnosis, prevention, and therapy provided by dental health care professionals, including general dental practitioners, dental specialists, dental hygienists, dental residents and dental assistants (licensed as appropriate). Dental care is in compliance with state dental practice laws and includes evidence-based clinical decisions that are informed by the American Dental Practice Parameters.

Application of Standards

These standards apply to all agencies that are funded to provide oral health services. If the funded agency subcontracts for oral health services, the funded agency is responsible for ensuring that these standards are followed.

Standards Development Process

The standards were developed through extensive background research on quality management standards, a review of existing standards from other Ryan White Part A EMAs, meetings of the Oral Health Task Force and meetings with the Ryan White Part A Recipient.

Acknowledgements

Fulton County would like to thank all of the EMAs that shared their standards, as well as the members of the Oral Health Task Force who gave generously of their time to provide valuable input to the development of these quality management standards and measures.

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I. Policies and Procedures	
Standard	Measure
A. Agency must have policies and procedures in place that address confidentiality (HIPAA), grievance procedures and supervision requirements per federal and state law and local regulations.	<ul style="list-style-type: none"> • Policy and procedure manual • Grievance procedure posted in visible location
B. Agency has eligibility requirements for services in written form. This is inclusive of: <ul style="list-style-type: none"> ✓ Clients rights and responsibilities ✓ Release of information/confidentiality ✓ Eligibility for services 	<ul style="list-style-type: none"> • Policy on file
C. Agency is licensed and/or accredited by the appropriate city/county/state/federal agency.	<ul style="list-style-type: none"> • Current licensure on file from appropriate city/county/state/federal agency
D. Agency has written policies and procedures in place that protect the physical safety and well-being of staff and clients. This is inclusive of <ul style="list-style-type: none"> ✓ Physical agency safety management and public health emergencies <ul style="list-style-type: none"> • Meets fire safety requirements • Complies with Americans with Disabilities Act • Is clean, comfortable and free from hazards • Complies with Occupational Safety and Health Administration (OSHA) infection control practices • Complies with all rules and regulations of Georgia Board of Dentistry • Follows recommendations of Centers for Disease Control and Prevention (CDC), American Dental Association during pandemics and outbreaks • Maintains and updates an emergency preparedness plan ✓ Crisis management and psychiatric emergencies <ul style="list-style-type: none"> • How to assess emergent/urgent vs. routine need • Verbal intervention • Non-violent physical intervention • Emergency medical contact information • Incident reporting • Voluntary and involuntary inpatient admission ✓ Refusal of services 	<ul style="list-style-type: none"> • Policy on file • Program Review

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<ul style="list-style-type: none"> ✓ Personnel <ul style="list-style-type: none"> • Roles and responsibilities of staff, including supervision responsibilities and caseload or staff/client ratio ✓ Client/Parent/Guardian Rights and Responsibilities ✓ Health Record Storage and Maintenance <ul style="list-style-type: none"> • Complies with DHHS, Office of Civil Rights HIPAA requirements • Business Association Agreements on file 	
<p>E. Agency has a written policy in place on how to deal with clients who miss their appointments.</p>	<ul style="list-style-type: none"> • Policy on file
<p>F. Agency will have all inactivated client records in a confidential locked location for a period as stipulated by law.</p>	<ul style="list-style-type: none"> • Site Visit/Program Monitoring
<p>G. Agency is required to assure and maintain documentation of the following which shall be made available to the Recipient and HRSA upon request and during Ryan White Part A site visits:</p> <ul style="list-style-type: none"> ✓ Documentation that services are provided by general dental practitioners, dental specialists, dental hygienists, dental residents and auxiliaries and meet current dental care guidelines <ul style="list-style-type: none"> • Clinical decisions supported by the American Dental Association Practice Parameters and Georgia Board of Dentistry ✓ Documentation of appropriate and valid licensure and certification as required by the State and local laws ✓ Documentation through program files and client records that: <ul style="list-style-type: none"> • Each eligible client has an oral health treatment plan that is signed off by the oral health professional rendering the services. • An oral health treatment plan is developed for each eligible client and signed by the oral health professional rendering the services 	<ul style="list-style-type: none"> • Personnel files • Client records • Site Visit/Program Monitoring • Agency policy/procedure manual
II. Program Staff	
Standard	Measure
<p>A. Staff are trained and knowledgeable about HIV/AIDS issues, the delivery of oral health care and available resources.</p>	<ul style="list-style-type: none"> • Personnel records

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B. Staff have appropriate skills, relevant experience and licensure to provide oral health care to HIV infected clients.	<ul style="list-style-type: none"> • Certifications/licensures on file • Resumes on file
C. Dentists and dental residents will have proof of malpractice coverage.	<ul style="list-style-type: none"> • Copy of current malpractice coverage on file
D. Staff receive supervision as required by licensure/certification. Dental students, residents, and hygienists will be supervised by licensed dentists.	<ul style="list-style-type: none"> • Personnel records
E. Staff have a clear understanding of their job description and responsibilities as well as agency policies and procedures.	<ul style="list-style-type: none"> • Written job description that includes roles and responsibilities and a statement of having been informed of agency policies and procedures on file signed by staff & staff supervisor/human resources manager
F. Dental healthcare workers participate in at least six hours of education/training every two years on HIV related oral healthcare issues including oral manifestations, dental treatment considerations for PLWH and other co-morbidities, infection control and post exposure prophylaxis.	<ul style="list-style-type: none"> • Training/education documentation in personnel files

III. Access to Services

A. Agency is accessible to desired target populations. Accessibility includes: <ul style="list-style-type: none"> ✓ Proximity to community impacted by HIV ✓ Proximity to mass transit ✓ Proximity to low-income individuals ✓ Proximity to underinsured/uninsured individuals 	Program Review
B. Agency is compliant with Americans with Disabilities Act requirements for non-discriminatory policies and practices and for the provision of reasonable accommodations to address communication (e.g. sign language interpreter).	<ul style="list-style-type: none"> • Policy on file
C. Agency demonstrates the ability to provide culturally and linguistically appropriate oral health care according to the Atlanta EMA standards for desired target population.	<ul style="list-style-type: none"> • Personnel and training records • Program Review • Client satisfaction survey
D. Agency demonstrates input from clients in service design and delivery.	<ul style="list-style-type: none"> • Client satisfaction survey/Consumer Advisory Board
E. Agency is accessible using HIPAA-compliant applications to provide tele dentistry services with healthcare-specific features and security.	<ul style="list-style-type: none"> • Policy on file • Personnel and training records • Program Review

IV. Service Eligibility Screening

Standard	Measure
A. Provider determines client eligibility for services. Client eligibility will be reassessed every 6 months. The process to determine client eligibility must	<ul style="list-style-type: none"> • Client record • Agency client data report consistent with funding

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<p>be completed in a time frame so that oral health services are not delayed. Eligibility assessment must include at a minimum:</p> <ul style="list-style-type: none"> ✓ Proof of HIV status ✓ Proof of income ✓ Proof of residency ✓ Proof of active participation in primary care or documentation of the client's plan to access primary care <ul style="list-style-type: none"> • At least 1 visit with a primary care provider every 6 months • For affected children < 4, at least 1 primary care visit within 12 months 	<p>requirements</p>
<p>B. Client is informed of the client confidentiality policy and grievance policy at first face to face contact.</p>	<ul style="list-style-type: none"> • Client record • Client satisfaction survey
<p>C. Client is informed of services available and what client can expect if s/he enrolls in services, including methods and scope of service delivery. Clients will also be informed of the documentation requirements for treatment.</p>	<ul style="list-style-type: none"> • Client record • Client satisfaction survey
<p>D. Staff will provide client with referral information to other services, as appropriate.</p>	<ul style="list-style-type: none"> • Policy on file
V. Assessment/Treatment	
<p>A. Agency/subcontractor must maintain a dental record for each client that is signed by the licensed provider. A dental record must include at a minimum a treatment plan, services provided, and any referrals made.</p>	<ul style="list-style-type: none"> • Client record
<p>B. Clients who are eligible for services and have provided the required documentation shall receive a referral for assessment. Assessment includes at a minimum:</p> <ul style="list-style-type: none"> ✓ Determination of care need (emergency, non-emergency or triage) ✓ Complete health history ✓ Current medications ✓ Relevant laboratory testing every 6 months ✓ Hard and soft tissue examination ✓ X-rays of teeth ✓ Referrals ✓ Primary care provider contact number 	<ul style="list-style-type: none"> • Client record • Agency client data report consistent with funding requirements
<p>B. Clients who receive a clinical oral evaluation should have a dental and</p>	<ul style="list-style-type: none"> • Client record

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medical history at least once a year. Referring agency should provide dental and medical history of client to oral health provider.	
C. Treatment plan is developed with client within 1 business day of assessment.	<ul style="list-style-type: none"> • Client record including completed treatment plan signed by client and provider
D. Client's needs and treatment plan are reviewed and revised a minimum of every 12 months.	<ul style="list-style-type: none"> • Client record
E. Dental Healthcare Professionals (DHCP) Providers will educate clients on oral disease prevention at each oral health visit.	<ul style="list-style-type: none"> • Client record
F. Clients who had a clinical oral evaluation should receive a periodontal screen or exam at least once during the treatment year.	<ul style="list-style-type: none"> • Client record

VI. Service Coordination/Referral

Standard	Measure
A. Agency staff act as a liaison between the client and other service providers to support coordination and delivery of high quality care. Clients must be enrolled in primary medical care and have been seen by a primary care provider within the past 6 months.	<ul style="list-style-type: none"> • Client record – documentation of enrollment in primary medical care
B. Referral sources should be provided with a minimum of the following: <ul style="list-style-type: none"> ✓ Authorization form from client to provide records to referral source ✓ Concise problem statement (documenting necessity of specialty referral) ✓ Relevant lab tests and pharmacy data available at time of appointment 	<ul style="list-style-type: none"> • Client record
C. Documentation of oral health services is included in the client's chart.	<ul style="list-style-type: none"> • Client record

VII. Clients' Rights and Responsibilities

A. Client confidentiality policy exists for all service settings.	<ul style="list-style-type: none"> • Policy on file
B. Agency grievance policy exists.	<ul style="list-style-type: none"> • Policy on file
C. A current (in the last year) release of information form exists for each specific request for information and each request is signed by the client.	<ul style="list-style-type: none"> • Client record
D. The agency has a formal policy as governed by Georgia law for clients who may be incapable of making their own treatment or care decisions.	<ul style="list-style-type: none"> • Policy on file • Legal/medical consultation policy
E. Clients will be informed of the client confidentiality policy, grievance policy, their rights and responsibilities and their eligibility for services annually.	<ul style="list-style-type: none"> • Client medical record • Documentation in client chart initialed or signed by client (may include electronic signature)

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Clinical Guidelines for Dental Procedures*

Emergency dental care	Care related to the treatment of pain or infection, including, but not limited to: emergency examinations, diagnostic dental radiographs, caries control, endodontic access, extractions and subgingival curettage and trauma.
Endodontic procedures	For severely decayed or abscessed teeth that can be maintained if the patient so chooses. When the decay process has proceeded to the vital portions of the tooth (pulp), fillings alone are no longer possible; root canals are a means by which our patients can save severely decayed or necrotic (abscessed) teeth.
Management of oral pathology	Management of oral pathology including biopsy associated with HIV disease such as oropharyngeal candidiasis (thrush), ulcerations, Kaposi's sarcoma, and oral warts due to human papillomavirus (HPV), which, if left untreated, would increase morbidity and negatively impact quality of life.
Periodontal (gum care)	Recommended for clients with heavy calculus (tartar) buildup above and below the gum line, patients with infected or inflamed gingival gums) or periodontal disease. Maintenance therapy for clients who have previously undergone periodontal therapy is also included in this category.
Preventive dental care	Care that includes but is not limited to dental exams, diagnostic dental x-rays, dental cleanings, office fluoride therapies, and sealants.
Prosthetic care (partial and complete dentures)	Replaces multiple missing teeth and enable clients to maintain proper nutrition, function, speech, and esthetics. Also covered in this category are single unit crowns, crown build-ups and single unit fixed anterior bridges.
Restorative dental care	Includes amalgam (silver) fillings for posterior teeth and tooth colored fillings for anterior teeth.
Surgical procedures	Includes extraction of severely decayed teeth or periodontally involved teeth and biopsies of suspect lesions.

* Please note that these guidelines are meant to be general and allow the dental healthcare worker the flexibility to offer the best care available for Ryan White CARE Act eligible consumers.

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Guidelines for Teledentistry

The provision of Teledentistry services is designed to address the urgent/emergent oral health care needs of program clients when a face-to-face encounter with the dental provider is not available or appropriate.

Types of allowable services:

- To assess/triage the dental care needs of clients, where a set of X-rays are on file for reference. Situations where teledentistry may be appropriate include:
 - o Patient who has had a crown dislodged
 - o Patient with pain after an extraction
 - o Patient with pain (X-ray shows deep cavity)
 - o Discuss status of any pending oral health care treatment plans;
- Prescribe medication, as needed and appropriate, to address dental pain;
- Conduct a phone check-in with client to:
 - o assess client's oral health care status and needs;
 - o provide oral hygiene instruction to maintain good oral health and prevent future dental problems; and
 - o remind clients of the importance of remaining adherent to their HIV treatment (i.e., antiretroviral medication regimen).

Additional Resources:

American Dental Association Guidelines on Teledentistry:

- <https://www.ada.org/en/search-results#q=d9995&t=all&sort=relevancy> (see link for “Teledentistry Guidance” related to codes D9995 and D9996)
- <https://www.ada.org/en/search-results#q=teledentistry&t=all&sort=relevancy> (see various links)
- <https://www.ada.org/en/about-the-ada/ada-positions-policies-andstatements/statement-on-teledentistry> (ADA Policy on Teledentistry)